

# SIMPLE IRA Transfer Form

This form may be used to effect a direct transfer to a First Eagle Funds SIMPLE IRA from a SIMPLE IRA with another custodian. If you do not have an existing First Eagle Funds SIMPLE IRA, an account application must accompany this form. If you have any questions or to ensure that all legal requirements are met, please call Shareholder Services at 800-334-2143.

All information must be supplied.

## 1. Participant Information

First Name	MI	Last Name
<input type="text"/>	<input type="text"/>	<input type="text"/>
Social Security Number	Date of Birth (MM/DD/YYYY)	
<input type="text"/>	<input type="text"/>	
Street Address		
<input type="text"/>		
City	State	Zip Code
<input type="text"/>	<input type="text"/>	<input type="text"/>
Fund Name	Account Number (if new account, specify "New Account")	
<input type="text"/>	<input type="text"/>	

Provide information on the Custodian/Trustee/Financial institution where your assets are currently held.

## 2. Information About Your Current SIMPLE IRA

Name of Current Custodian/Trustee	Name of Current Financial Institution	
<input type="text"/>	<input type="text"/>	
Street Address		
<input type="text"/>		
City	State	Zip Code
<input type="text"/>	<input type="text"/>	<input type="text"/>
Daytime Telephone Number	Account Number	
<input type="text"/>	<input type="text"/>	
Initial Participation Date (MM/DD/YYYY)		
<input type="text"/>		

Transfer assets from the above account to UMB Bank, n.a. The transfer should be in cash according to the following instructions. **NOTE:** Please include a copy of your most recent account statement.

## 3. Instructions to Current SIMPLE IRA Custodian/Trustee/Financial Institution

Liquidate the total amount of my account. Approximate value: \$

\$  **OR**  % of my account.

Invest the transferred amount as follows. If this is a new account, please complete a SIMPLE IRA Application.

#### 4. Investment Instructions

First Eagle Global Fund	<input type="text"/>	%	Share Class	<input type="checkbox"/> A	<input type="checkbox"/> C	<input type="checkbox"/> I
First Eagle Overseas Fund	<input type="text"/>	%	Share Class	<input type="checkbox"/> A	<input type="checkbox"/> C	<input type="checkbox"/> I
First Eagle U.S. Value Fund	<input type="text"/>	%	Share Class	<input type="checkbox"/> A	<input type="checkbox"/> C	<input type="checkbox"/> I
First Eagle Gold Fund	<input type="text"/>	%	Share Class	<input type="checkbox"/> A	<input type="checkbox"/> C	<input type="checkbox"/> I
First Eagle Rising Dividend Fund	<input type="text"/>	%	Share Class	<input type="checkbox"/> A	<input type="checkbox"/> C	<input type="checkbox"/> I
First Eagle High Yield Municipal Fund	<input type="text"/>	%	Share Class	<input type="checkbox"/> A	<input type="checkbox"/> C	<input type="checkbox"/> I
First Eagle Global Income Builder	<input type="text"/>	%	Share Class	<input type="checkbox"/> A	<input type="checkbox"/> C	<input type="checkbox"/> I
First Eagle Small Cap Opportunity Fund	<input type="text"/>	%	Share Class	<input type="checkbox"/> A		<input type="checkbox"/> I
First Eagle Global Real Assets Fund	<input type="text"/>	%	Share Class	<input type="checkbox"/> A		<input type="checkbox"/> I
First Eagle U.S. Smid Cap Opportunity Fund	<input type="text"/>	%	Share Class	<input type="checkbox"/> A		<input type="checkbox"/> I
First Eagle Short Duration High Yield Municipal Fund	<input type="text"/>	%	Share Class	<input type="checkbox"/> A		<input type="checkbox"/> I
<b>Total Investment</b>	<input type="text" value="100"/>	%				

To be reviewed and signed by the Funds' custodian.

#### 5. To be Completed by UMB Bank, n.a. (UMB)

UMB agrees to accept custodianship and the transfer described above for deposit to a First Eagle Funds SIMPLE IRA established on behalf of the above-named individual. UMB accepts its appointment as successor custodian of the above IRA and requests the liquidation and transfer of assets as indicated above.

UMB Bank, n.a.

Signature of Custodian

Date (MM/DD/YYYY)

In order to complete your request, sign your name exactly as it appears on your account (if signing on behalf of the account owner, please include your designated title).

By signing below, the owner of the above referenced account hereby authorizes the transfer specified in this form.

## 6. Employee Signature and Authorization

The undersigned certifies to the current SIMPLE IRA custodian or trustee that a successor SIMPLE IRA has been established meeting the requirements of Internal Revenue Code Section 408(p) to which assets will be transferred, and certifies to UMB that the SIMPLE IRA from which assets are being transferred meets the requirements of Internal Revenue Code Section 408(p). We recommend that you contact your resigning custodian to determine their transfer and signature requirements. A Medallion Signature Guarantee may be required.

**A Medallion Signature Guarantee** assures that a signature is genuine and protects investors from unauthorized requests. A Medallion Signature Guarantee may be obtained from an officer of a commercial bank or trust company, savings and loan or savings bank, or a member firm of a domestic stock exchange. Notarization by a notary public is **not** acceptable.

Account Owner's Signature

Title (if applicable)

Date (MM/DD/YYYY)

Affix Medallion Signature Guarantee stamp.

Name and Title of Guarantor

If you have any questions or to ensure that all legal requirements are met, please call Shareholder Services at 800-334-2143.

## 7. Mail the completed form to:

### Regular Mail:

First Eagle Funds  
P.O. Box 219324  
Kansas City, MO 64121-9324

### Overnight Mail:

First Eagle Funds  
801 Pennsylvania Avenue  
Suite 219324  
Kansas City, MO 64105-1307